



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| Inventor: | Jonathan A. Tester | Examiner: | Thomas R. Peeso |
| Application No.: | 10/691,443 | Art Unit: | 2132 |
| Filed: | October 22, 2003 | Docket No.: | SYMAP038 |
| Title: | CONTROLLING FILE OPERATIONS | | |

CERTIFICATE OF MAILING

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Veronica Pula

INFORMATION DISCLOSURE STATEMENT
BEFORE MAILING DATE OF EITHER A FINAL ACTION OR
NOTICE OF ALLOWANCE (37 CFR 1.97(c))

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
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Dear Sir:

The references listed in the attached PTO SB/08, may be material to examination of the above-identified patent application. Applicants disclose these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application. Copies of the cited references are provided to the extent required by 37 CFR §1.98 and any applicable waivers.

This Information Disclosure Statement is being filed after the mailing date of the first Office Action on the merits, or after three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application, whichever event occurred last, but it is believed, before the mailing date of either (1) a final action under §1.113 or (2) a notice of allowance under §1.311, whichever occurs first.

Atty Docket No. SYMAP038
Application No.: 10/691,443

Accompanying this Information Disclosure Statement is the fee set forth in 37 CFR 1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00). If it is determined that any additional fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-0685 (Order No. SYMAP038).

Respectfully submitted,
VAN PELT, YI & JAMES LLP

A handwritten signature in black ink, appearing to read 'Robyn Wagner', with a stylized, flowing script.

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Substitute for form 1449/PTO

Complete if Known**Information Disclosure
Statement By Applicant**

(Use as many sheets as necessary)

Sheet 1 of 1

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| Application No.: | 10/691,443 |
| Filing Date | October 22, 2003 |
| First Named Inventor | Jonathan A. Tester |
| Art Unit | 2132 |
| Examiner Name | Thomas R. Peeso |
| Atty Docket No. | Jonathan A. |

U.S. Patent Documents

| Examiner Initials | Cite No. | Document Number Number-Kind Code (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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FOREIGN PATENT DOCUMENTS

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NON PATENT LITERATURE DOCUMENTS

| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T ² |
|----------------------|-------------|--|----------------|
| | J. | STEVE BREMER, Linux Intrusion Detection System (LIDS) FAQ., April 27, 2002, originally at http://www.clublinux.org/lids/LIDS-FAQ.txt currently at http://web.archive.org/web/20020602181923/http://www.clublinux.org/lids/LIDS-FAQ.txt | |
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Examiner

Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered.
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